

## Addendum I

**Correction:** The date was incorrect on the RFP. The question and comment session will be **Thursday, May 13, 2021; from 11:30, am to 12:30 pm (EST) Eastern Standard Time (Due to COVID 19, the session will be conducted via conference call. Conference call information: Dial-In: (425) 436-6370 Access Code: 2089037**

### Questions as of May 10, 2021

1. Who is your current recordkeeper(s)? *AIG is the current recordkeeper.*
2. Can you please confirm if the pension plan is being assessed through this process, or if this search is for only the 401(a) & 457(b) plans? *The search is for the 401(a) and 457(b). We currently have a 414H which services as the 401(a) and a 457(b).*
3. Is this search for consultant/advisor services only? Or are recordkeepers able to submit proposals? *This is for both, the advisor services/recordkeeper and a new plan. We will roll our current account to new ones. Yes, record keepers can submit proposals.*
4. Please provide the total asset that is in the 457(b) and 401(a) plans today and a complete breakdown of assets by fund including ticker symbols. *\$17,500 and \$460,000, respectively. The breakdown of assets can be emailed individually to interested parties upon request.*
5. On page 4 of the RFP, we noticed that only 3 participants are currently contributing to the plan. Can you confirm the total participant count in the plans, regardless of active vs. terminated? *29*
6. Please provide the total contributions to the plan in 2020. *\$12,571.77*
7. Please provide the total distributions to the plan in 2020.

REASON DESCRIPTION	DISTRIBUTION AMOUNT
LOAN PARTIAL FORECLOSURE	(\$23,710.13)
RETIREMENT	(\$32,130.04)
TERMINATION	(\$26,333.25)
	<b>-82173.42</b>

8. Does the plan currently offer loans? If so, please provide the total number of loans outstanding as well as the total number of loans issued in 2020. *Yes. Total active loans are one. Zero loans were issued in 2020.*

LOAN ACCOUNT STATUS CODES	ORIGINAL PRINCIPAL	PRINCIPAL REPAID	LOAN PRINCIPAL
DN - Default And Not Foreclosed	\$6,245.00	\$43.47	\$6,201.53
AC - Active	\$4,982.00	\$2,128.08	\$2,853.92

9. Please provide information on the fixed fund in the plan today. What is the current crediting rate? What are the expense ratios? Are there any termination provisions or liquidity restrictions (i.e. MVA, 12 month put, etc.)? *Please see below the information for the fixed fund on both accounts I will inquire on the crediting rate and the expense ratios and make that information available for the Addendum II. There is a monetary termination provision per account that will be managed using forfeiture amounts*

PLAN	TICKER SYMBOL	FUND CODE	FUND NAME	ASSET CLASS	UNITS/SHARES	UNIT/SHARE VALUES	END VALUE
001		FB001	FIXED ACCOUNT PLUS	FIXED INCOME	0.000000		\$15,681.58
001		FB001	FIXED ACCOUNT PLUS	FIXED INCOME	0.000000		\$360,741.67

10. Who is your current payroll vendor? *We have a third-party payroll vendor that will be reprocurd by 2022.*
11. Do you have any specific "wish list" as you review a potential new relationship?  
*No*
12. Please provide the current service agreement and plan document. *This information will not be posted but can be emailed individually upon request.*
13. Does this RFP include consulting services on the defined benefit plan? *See the answer to # 3 above.*

14. If so, what are the assets as of 3/31/21 in the defined benefit plan? *See the answer to #4*
15. What are the assets as of 3/31/21 in the 457b deferred compensation plan? *See the answer to #4*
16. What are the assets as of 3/31/21 in the 401a retirement plan? *See the answer to #4*
17. What is the total number of employees at HAFC? *Currently 18.*